To be completed prior to the provision of investment advice
Client Name:
Client ID/Passport Number:
Date of Completion: Date://
Completed by (Tied Agent Representative): John Knobel (CN 1583)
1. CLIENT INFORMATION
A. Employment & Income
Occupation:
• Employer:
• Annual Income: $\square$ < €25,000 $\square$ €25–50k $\square$ €50–100k $\square$ €100–250k $\square$ > €250k
• Source(s) of Income:
B. Financial Position
• Estimated Net Worth: □ < €50k □ €50–250k □ €250–500k □ > €500k
• Liquid Assets Available for Investment: €
Outstanding Liabilities: €
C. Tax Residency:
Country of Tax Residence:
Tax Identification Number (TIN):
2. INVESTMENT OBJECTIVES
Please indicate your investment goals (tick all that apply):
☐ Capital Preservation
☐ Income Generation

J. Knobel Investor Services Limited

 $\square$  Speculation / High Return Seeking

 $\square$  Long-Term Capital Growth

☐ Balanced Growth

<b>Investment Horizon:</b>				
$\square$ < 1 year $\square$ 1–3 years $\square$ 3–5 years $\square$ > 5 years				
<b>Expected Frequency of Transactions:</b>				
□ Rare □ Occasional □ Regular □ Frequent				
3. RISK TOLERANCE				
Which statement best describes you	ır riek n	rofilo?		
☐ I prefer not to risk any capital (low risk)				
☐ I can tolerate moderate fluctuations in value (medium risk)				
☐ I understand and accept the possibility of significant loss (high risk)				
Maximum loss in one year you wou	ıld be co	mfortable with:		
$\square$ 5% $\square$ 10% $\square$ 20% $\square$ 30%+				
Would you sell if your portfolio dec	clined 20	% in value?		
☐ Yes ☐ No ☐ Depends on the circumstances				
4. KNOWLEDGE AND EXPERIENCE  Have you previously invested in the following instruments? (Please tick all that apply and indicate duration)				
Instrument	Yes/No	Years of Experience	Number of Transactions per Year	
Shares / Stocks				
Bonds / Fixed Income				
UCITS / Mutual Funds				
Structured Products				
Forex				
Derivatives (Options/Futures/CFDs)				
Crypto Assets (if applicable)				
Understanding of Risk:				
☐ I understand that investing involve	s risks, ii	ncluding loss of capita	1	
$\square$ I have read and understood the rele	evant risk	disclosure		
5. OTHER INFORMATED Do you require income from your i				

 $\square$  Yes  $\square$  No

Do you have any ethical, religious, or ESG-related restrictions?
☐ Yes (please specify):
□ No
6. CLIENT DECLARATION
I declare that the information provided above is accurate and complete to the best of my knowledge. I understand that this information will be used to assess the suitability of investment advice offered to me by J. Knobel Investor Services Limited on behalf of Mega Equity Securities and Financial Services Public Ltd.
Client Signature:
Date:/
7. TIED AGENT DECLARATION
I confirm that I have reviewed the above information with the Client and that the completed form reflects a fair and accurate assessment of the Client's profile.
Tied Agent Representative Name: Signature:
Date:/