

Tied Agent Disclosure Statement

Issued by: J. Knobel Investor Services Limited

Effective Date: 29 July 2025

Version: 1.0

1. Introduction

This disclosure document is provided to you in accordance with the requirements of the European Markets in Financial Instruments Directive (MiFID II) and applicable Cyprus investment services laws and regulations. It is intended to help you understand the regulatory status of J. Knobel Investor Services Limited and the nature of your relationship with us.

2. Regulatory Status

J. Knobel Investor Services Limited is registered in Cyprus with company number HE 379422 and acts as a Tied Agent of:

Mega Equity Securities and Financial Services Public Ltd

A Cyprus Investment Firm (CIF) authorized and regulated by the Cyprus Securities and Exchange Commission (CySEC) under license number 011/03, dated 12/05/2003.

In accordance with MiFID II (Article 29) and Cyprus Law 87(I)/2017, tied agents may only act on behalf of one investment firm and do not hold a MiFID license in their own right.

3. Scope of Services

J. Knobel Investor Services Limited is authorized by Mega Equity Securities and Financial Services Public Ltd to act as its tied agent for the following investment services:

- **Investment Advice (Service 2)**
- **Reception and Transmission of Client Orders (Service 1)**

These services are limited to financial instruments 1–8 and 10 as defined under Annex I of Law 87(I)/2017 and MiFID II.

All investment services are rendered strictly on behalf of and under the full and unconditional responsibility of the CIF. J. Knobel Investor Services Limited does not provide independent investment advice and does not hold or control client funds or financial instruments.

4. Responsibility and Oversight

The CIF (Mega Equity) is responsible for:

- Ensuring compliance with all applicable legal and regulatory obligations
- Supervising the activities of J. Knobel Investor Services Limited
- Handling client orders, execution, and record-keeping
- Maintaining your client account and safeguarding assets

Clients of the tied agent are, at all times, considered clients of Mega Equity Securities and Financial Services Public Ltd.

5. Remuneration and Conflicts of Interest

J. Knobel Investor Services Limited may receive a percentage of the commission charged by the CIF on transactions transmitted by the tied agent. This arrangement:

- Does not result in any additional cost to the client beyond the disclosed commission
- Is fully disclosed in the [Costs & Charges Disclosure] document
- Does not compromise the tied agent's duty to act in the best interest of the client

A full Conflicts of Interest Policy is available upon request.

6. Complaints and Dispute Resolution

All complaints related to services provided by J. Knobel Investor Services Limited should be addressed to:

Compliance Department

Mega Equity Securities and Financial Services Public Ltd

Address: 42-44 Griva Digenis 1080 Nicosia, Cyprus P.O. Box 23685, 1685, Nicosia Cyprus

Email: info@megaequity.com

Tel: +357 22 711711

Clients may also escalate complaints to CySEC or refer disputes to the Financial Ombudsman of Cyprus if unresolved.

7. Client Acknowledgment

By entering into a relationship with J. Knobel Investor Services Limited, you acknowledge that:

- You are a client of Mega Equity Securities and Financial Services Public Ltd
- All services are provided under the responsibility and supervision of the CIF
- You have been informed of the regulatory framework and the tied agent's status

For further questions or to request additional documents (e.g. Best Execution Policy, Risk Disclosures, or Conflicts of Interest Policy), please contact us at support@jknobel.com.