

## APPENDIX D

### FEEs

In respect of the services provided by the IF to the Client, the IF is entitled to the following commission, fees, taxes and other expenses:

- **Commission (%)**: .....
- **Other expenses, fees and taxes**:

### **BROKERAGE SERVICES FOR CSE, ASE & INTERNATIONAL MARKETS**

#### 1. Commissions

Commission scales are negotiable depending on portfolio size, type of service offered, the type of financial instruments and the markets the orders are executed, as well as the client categorisation (i.e. retail or professional). The typical commissions scales vary depending on the type of service offered.

Type of Service	Commission Scale
Online trading and Order Taking	0,1% - 1%
Advisory Service	0,3% - 1%

A minimum commission of €4 and €2 for online orders (or the equivalent amount in the transaction's currency) is also applied on each stock transaction in the CSE, ASE or XNET. It is also notes the minimum commission for foreign markets may vary from €30 - €50 depending on the executing broker.

#### 2. Central Depository Fees, Exchange Fees and other Transaction Fees

The expenses summarized in the table below are based on the current pricing policy and the trading of the relevant stock exchange or depository, and are transferred to the client for each financial transaction.

Stock Exchange	Stocks	Corporate Bonds	Government Bonds
CSE (Main Market)	0,0475%	N/A	N/A
CSE (All other Markets)	0,095%	0,0201%	0,020%
ASE	0,0425%	0,0151%	0,0151%



- In addition, the following fees are charged:
- A fixed fee of €0,16 for CSE and €0,06 for ASE is charged per trade.
- €0,50 for all the transactions of a client on a financial instrument, per transaction type (purchase or sale) per day on CSE and ASE.
- Maximum €8,00 for all the transactions that are executed on a financial instrument (ISIN), per currency, per day on the markets of Belgium, France, Germany, Italy, Netherlands, Portugal, Spain and Swiss.
- Maximum €12,00 for all the transactions that are executed on a financial instrument (ISIN), per currency, per day on the markets of Denmark, Finland, Norway and Sweden.
- Maximum €8,00 for all the transactions that are executed on a financial instrument (ISIN), per currency and per transaction type (purchase or sale), per day on the markets of Ireland, London and USA.
- For the UK markets Stamp Duty equal to 0,5% on the value of the purchases and TM Levy equal to £1 or €1,25 if the total value of the trades of one order is greater than £10.000 or €12.500 depending on the currency.
- For the markets of Ireland Stamp Duty equal to 1% on the value of the purchases and ITP Levy equal to €1,25 if the value of the total trades of one order is greater than €12.500.
- For the USA markets Sec Fee equal to 0,218/1.000.000 on the value of the sales.

### 3. Sales Tax

The sales tax for transactions defined by the Ministry of Finance of the **Greek** Ministry of Economy and Finance is currently set at 0,20%. Sales tax is applicable on the sale of any financial instrument with the exception of 'Rights' on which no tax is charged in ASE.

Additionally, all buy transactions on shares of **French** companies, which have an annual capitalization of over EUR 1 billion on December 1st each year, whether these are executed in the French market or not, are subject to tax by charging 0,30% on the value of the purchases (French Transaction Tax –FTT).

Financial Transaction Tax (FTT) is charged on shares and other instruments, e.g. ADRs, and ETFs with underlying values the shares of companies that have their registered office in **Italy** and their capitalization in November was equal or greater than €500 million as follows:

- €0,1% on the value of the transaction in regulated markets (on exchange transactions) in the countries in the respective white list, and
- 0,2% on the value of the transactions for the other markets as well as on OTC transactions either versus payment or free (in case that they concern change of ownership of the shares).

### 4. Safekeeping of financial instruments

Annual fee or by proportion (depending on the period of safekeeping), not greater than 0,5% (or 0,10% per transaction depending on the execution venue) of the value of the financial instruments which are safe kept by MegaEquity in the markets mentioned above.

### 5. Miscellaneous Charges

Please note that all the above fees/charges may change according to the relevant stock exchange's pricing policy and MESFS' policy regarding the costs transferred to the client.

**MegaEquity has the right to change the above pricing policy that concerns costs and expenses for the provision of investment services. Any changes will be effected, at least, ten (10) calendar days after their public announcement to the clients.**

For other Markets and other Services please contact us for specific quotations at [info@MegaEquity.com](mailto:info@MegaEquity.com)

Description	Fees Amount		
Account opening			
Creation of Share Account (CSE)	€10		
Creation of Share Account (ASE)	€10		
	Physical/Foreign Person	Cyprus Company	Foreign Company
Brokerage account opening fee	€20	€100	€150
Fees for “Power of Attorney” Form			
Deposit of POA form	€5	€5	€5
Deposit of legal documents or lawyer’s letter verifying directors certificate	-	€15	€20
Request for Depository Acc. Number	€1	€1	€1
Stamps	€10	€10	€10
Certification fees	€12	€12	€12
Total	€28	€43	€48
Other fees			
	CSE	ASE	
Request for CSE Statement	€4,00 (up to 20 pages) + €2	min €5 + €2	
Transfer of Shares from the CSD (plus €4 to be certified)	€5,00/share title (maximum amount €20)		
Certification fees	€10 + €2		
Off exchange transfer	0.16% (min €4 per title) plus Min €20, max 0.5% of value of portfolio		
Change of details in CSE	€3 + €2		
Transfer of shares to another Exchange	€8		
Issuance of a LEI for legal entities	€350		
Annual renewal of LEI for legal entities	Min €200		
Reactivation fee (clients with status dormant – no trading for 2 years)	€15	€25	€45
Administration for deceased persons	€150 + out of pocket expenses		
Annual account maintenance fee	€36 per account per calendar year		
Safekeeping fee	As incurred		
Transfer of securities to another custodian	As charged by the custodian plus €50 per title		
Interest rate on debit balances	As per the agreement signed		
Interest rate on credit balances	As incurred from the client’s money a/cs		